Studies of Beauty Suppression via Nonprompt D^0 Mesons in Pb-Pb Collisions at $\sqrt{s_{\mathrm{NN}}} = 5.02$ TeV

A. M. Sirunyan *et al.*[*]

(CMS Collaboration)

The transverse momentum spectra of D^0 mesons from b hadron decays are measured at midrapidity ($|y| < 1$) in pp and Pb-Pb collisions at a nucleon-nucleon center of mass energy of 5.02 TeV with the CMS detector at the LHC. The D^0 mesons from b hadron decays are distinguished from prompt D^0 mesons by their decay topologies. In Pb-Pb collisions, the $B \to D^0$ yield is found to be suppressed in the measured p_{T} range from 2 to 100 GeV/c as compared to pp collisions. The suppression is weaker than that of prompt D^0 mesons and charged hadrons for p_{T} around 10 GeV/c. While theoretical calculations incorporating partonic energy loss in the quark-gluon plasma can successfully describe the measured $B \to D^0$ suppression at higher p_{T}, the data show an indication of larger suppression than the model predictions in the range of $2 < p_{\mathrm{T}} < 5$ GeV/c.

Quantum chromodynamics (QCD) predicts the existence of a quark-gluon plasma (QGP) phase, consisting of deconfined quarks and gluons, at extremely high temperatures and/or densities [1–3]. Experiments at the BNL RHIC and the CERN LHC indicate that a strongly coupled QGP is created in relativistic heavy ion collisions at nucleon-nucleon center-of-mass energies $\sqrt{s_{\mathrm{NN}}}$ from 200 GeV to several TeV [4–8]. Heavy quarks (charm and beauty) produced in heavy ion collisions are valuable probes for studying the properties of this deconfined medium. They are mostly produced in primary hard QCD scatterings at an early stage of the collision. During their propagation through the QGP, heavy quarks lose energy via radiative and collisional interactions with the medium constituents, with the two processes dominating at high and low transverse momentum (p_{T}), respectively. Parton energy loss can be studied using the nuclear modification factor (R_{AA}), which is defined as the ratio of the particle yield in nucleus-nucleus (AA) to that in proton-proton (pp) collisions, normalized by the number of binary nucleon-nucleon collisions (N_{coll}) [9]. Precise measurements of R_{AA} for particles containing light, charm, and beauty quarks over a wide p_{T} range can test the predicted flavor (parton mass) and energy dependence of the parton energy loss in the QGP [10]. This can provide both important tests of QCD at extreme densities and

temperatures, and constraints on theoretical models describing the system evolution in heavy ion collisions.

Charm suppression in heavy ion collisions was reported by RHIC and LHC experiments [11–16]. For beauty production, the CMS Collaboration measured R_{AA} for nonprompt J/ψ mesons (coming from decays of b hadrons) and for fully reconstructed $B\pm$ mesons [17–19]. A suppression by a factor of about two was observed in both channels for $p_{\mathrm{T}} > 6$ GeV/c at midrapidity. At the same time, the R_{AA} of nonprompt J/ψ mesons in the p_{T} range of 6.5–30 GeV/c was found to be larger than the R_{AA} of prompt D mesons in the 8–16 GeV/c p_{T} region for central events, which is in line with a mass ordering of quark energy loss [10]. An indication of less suppression of nonprompt J/ψ mesons is seen at forward rapidity ($1.8 < |y| < 2.4$), at low p_{T}, down to 3 GeV/c. Extending measurements of charm and beauty suppression to a broader p_{T} coverage should provide improved discrimination between the radiative and collisional parton energy loss mechanisms, leading to better constraints on theoretical predictions.

In this Letter, we report a study of beauty production and in-medium energy loss performed by measuring nonprompt D^0 p_{T} spectra in pp and 0–100% centrality (i.e., the degree of overlap of the two colliding nuclei) Pb-Pb collisions at $\sqrt{s_{\mathrm{NN}}} = 5.02$ TeV with the CMS detector. The measurement is done in the rapidity region $|y| < 1$, in a wide p_{T} range from 2 to 100 GeV/c. The D^0 and $\bar{D}^0$ mesons, whose yields are merged in this analysis, are reconstructed via the hadronic decay channel $D^0 \to K^- \pi^+$ that has a branching fraction of 3.93% [20]. The combined branching fractions of B mesons $\to D^0 \mathrm{X}/\bar{D}^0 \mathrm{X}$ and the following $D^0 \to K^- \pi^+$ are significantly higher than those for
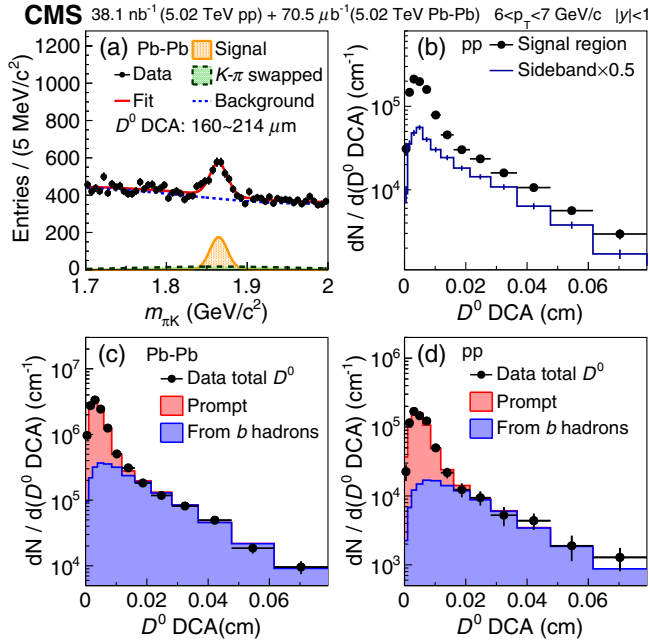

FIG. 1. (a) Example of a three-component invariant mass fit of a D^0 DCA bin for p_T of 6–7 GeV/c in Pb-Pb collisions. (b) DCA distributions for D^0 candidates in the signal invariant mass region and in the sidebands (scaled by the mass range ratio of 0.5) for D^0 p_T of 6–7 GeV/c in pp collisions. (c) Signal DCA distribution obtained with the invariant mass fit for each DCA bin, and a prompt + nonprompt two-component fit to it, for D^0 p_T of 6–7 GeV/c in Pb-Pb collisions. (d) Signal DCA distribution obtained with the sideband subtraction, and a prompt + nonprompt two-component fit to it, for D^0 p_T of 6–7 GeV/c in pp collisions.

previous measurements via nonprompt J/ψ mesons and fully reconstructed $B^\pm$ mesons.

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter, and a brass and scintillator hadron calorimeter, each composed of a barrel and two end cap sections. The silicon tracker measures charged particles within the pseudorapidity range $|\eta| < 2.5$. For nonisolated particles of $1 < p_T < 10$ GeV/c and $|\eta| < 1.4$, the track resolutions are typically 1.5% in p_T and 25–90 (45–150) μm in the transverse (longitudinal) impact parameter [21]. A detailed description of the CMS experiment can be found in Ref. [22].

This analysis is performed using pp and Pb-Pb data collected in 2015 at $\sqrt{s_{NN}} = 5.02$ TeV. For D^0 p_T less than 20 GeV/c, minimum-bias samples corresponding to about 2.67 billion pp (294 million Pb-Pb) collisions are used. For D^0 p_T above 20 GeV/c, we use samples from dedicated D^0 high-level trigger (HLT) algorithms [16], corresponding to integrated luminosities of 27.4 pb^{-1} [23]

and 530 μb^{-1} for pp and Pb-Pb collisions, respectively. The same event selection as in Refs. [16,24,25] is used to reject instrumental background processes (beam-gas collisions, beam scraping events, and ultraperipheral nonhadronic collisions).

Monte Carlo (MC) simulated events are used to evaluate detector acceptance, reconstruction, and selection efficiency for D^0, and to obtain geometrical distributions for prompt and nonprompt D^0 meson decay vertices relative to the primary vertex (PV, the reconstructed collision point). The MC samples are produced by generating pp collisions containing a D^0 meson with PYTHIA 8.122 [26] tune CUETP81M1 [27]. The decay kinematics of the heavy flavor hadrons are simulated with EVTGEN 1.3.0 [28]. Each pp event is then overlaid with a Pb-Pb collision event generated with HYDJET 1.8 [29]. The centrality distribution in real data is approximated by weighting the HYDJET event sample by the number of inelastic nucleon-nucleon collisions. The generated B meson p_T distributions are also weighted such that they reproduce the measured nonprompt D^0 spectra in this analysis. The detector response is simulated with GEANT4 [30].

The D^0 candidates are reconstructed by combining pairs of oppositely charged tracks. Each track is required to pass a high purity selection based on a multivariate analysis of track quality variables [31]. Tracks are required to have $|\eta| < 1.5$ and p_T larger than 1 GeV/c for the pp and Pb-Pb minimum-bias data, and 2 and 8.5 GeV/c for pp and Pb-Pb D^0-triggered samples, respectively. For each pair of selected tracks, two D^0 candidates are created by assuming that one of the particles has the pion mass and the other has the kaon mass, and vice-versa. The D^0 candidates are required to have $|y| < 1$, where the track resolution is better. In order to reduce the combinatorial background and prompt D^0 contribution, the D^0 candidates are selected based on several geometrical criteria: a minimum probability that the two tracks come from a common decay vertex, a minimum distance between the decay vertex and the PV divided by its uncertainty, and minimum distances of closest approach (DCA) to the PV for the pion and kaon tracks divided by their uncertainties. The selection is optimized using simulated signal samples complemented by background events from mass sidebands in the data. Dedicated optimizations are performed for different p_T ranges and for pp and Pb-Pb collisions, in order to maximize the statistical significance of the $B \to D^0$ (i.e., D^0 mesons from b hadron decays) yield.

The $B \to D^0$ decays are distinguished from prompt D^0 mesons by fitting the distribution of DCA between the D^0 path and the PV. The signal D^0 DCA distribution, including both the prompt and nonprompt components, is extracted by two methods. For p_T bins in which there is abundant background (D^0 $p_T < 20$ GeV/c for Pb-Pb), the D^0 meson yield in each D^0 DCA bin is obtained from an invariant

mass fit with three components: a double-Gaussian function describing the signal, a broad Gaussian function describing K–π swapped pairs, and a third-order polynomial component for the combinatorial background. Figure 1(a) shows an example of a three-component invariant mass fit for a selected D^0 DCA and p_T bin. For the pp data and for D^0 candidates with $p_T >$ 20 GeV/c from Pb-Pb events, for which the background is low, a sideband subtraction method is used to obtain the signal D^0 DCA distribution. Figure 1(b) shows the DCA distributions for D^0 candidates in the signal invariant mass region ($|m_{\rm rec} - m_{D^0}| < 0.025$ GeV/c^2) and for candidates in the sidebands ($0.05 < |m_{\rm rec} - m_{D^0}| < 0.1$ GeV/c^2). The latter is scaled by the mass range ratio of 0.5 in order to estimate the background yield in the narrower signal region. Here $m_{\rm rec}$ is the reconstructed K–π invariant mass and m_{D^0} is the nominal mass of the D^0 meson, 1.8648 GeV/c^2 [20]. The signal D^0 DCA distribution is calculated as the difference of the D^0 DCA distributions in the signal region and the sidebands.

In order to obtain the $B \to D^0$ yield, a two-component fit to the signal D^0 DCA distribution is carried out using prompt and nonprompt D^0 DCA templates obtained from MC simulations, as shown in Figs. 1(c) and 1(d), for Pb-Pb and pp, respectively. The prompt D^0 mesons have a narrow DCA distribution near zero, with the width purely resulting from the detector resolution, while the nonprompt D^0 DCA distribution is much wider because of the kink between the b hadron and D^0 meson directions. This two-component fit is sensitive to the modeling of the D^0 DCA distributions in the simulation. To assess systematic effects on the two-component fit arising from potential differences between the resolution in data and simulation, the widths of the simulated DCA distributions are varied by a floating scale factor. The best simulated DCA width scale factor to match the data is determined by minimizing the χ^2 of the two-component fit. It is found to be in the range of $1.0 \pm$ 0.1 for all p_T bins, indicating a good data-to-simulation consistency.

The $B \to D^0$ differential cross section with $|y| < 1$ in pp collisions is calculated with the following equation:

$$\left. \frac{\mathrm{d}\sigma_{pp}^{B \to D^0}}{\mathrm{d}p_T} \right|_{|y|<1} = \frac{1}{2\mathcal{L}\Delta p_T \mathcal{B}} \left. \frac{N_{pp}^{B \to D^0 + \bar{D}^0}}{\alpha\epsilon} \right|_{|y|<1}. \quad (1)$$

Here $N_{pp}^{B \to D^0 + \bar{D}^0}$ are the nonprompt D^0 and $\bar{D}^0$ meson yields extracted in each p_T interval; $\mathcal{L}$ is the integrated luminosity for the corresponding trigger; Δp_T is the width of the p_T interval; $\mathcal{B}$ is the decay branching fraction; and $\alpha\epsilon$ represents the product of acceptance and efficiency. The factor $1/2$ accounts for the fact that the yields were measured for D^0 plus $\bar{D}^0$, but the cross section is for either D^0 or $\bar{D}^0$ production.

The $B \to D^0$ yield with $|y| < 1$ in Pb-Pb collisions is calculated similarly, and normalized by the nuclear overlap function $T_{AA} = N_{\rm coll}/\sigma_{\rm NN}^{\rm inelastic} = 5.61$ mb^{-1} [24] calculated with the Glauber model [9], to facilitate the comparison with the pp spectrum, as

$$\left. \frac{1}{T_{AA}} \frac{\mathrm{d}N_{\rm Pb\text{-}Pb}^{B \to D^0}}{\mathrm{d}p_T} \right|_{|y|<1} = \frac{1}{T_{AA}} \frac{1}{2N_{\rm events}\Delta p_T \mathcal{B}} \left. \frac{N_{\rm Pb\text{-}Pb}^{B \to D^0 + \bar{D}^0}}{\alpha\epsilon} \right|_{|y|<1}, \quad (2)$$

where the number of sampled inelastic collision events $N_{\rm events}$ replaces the integrated luminosity $\mathcal{L}$.

The nuclear modification factor is defined as

$$R_{AA} = \frac{1}{T_{AA}} \frac{\mathrm{d}N_{\rm Pb\text{-}Pb}^{B \to D^0}}{\mathrm{d}p_T} \Big/ \frac{\mathrm{d}\sigma_{pp}^{B \to D^0}}{\mathrm{d}p_T}. \quad (3)$$

The global systematic uncertainty (common to all points) of the $B \to D^0$ p_T spectrum in pp collisions (2.5%) is the sum in quadrature of the uncertainties in the integrated luminosity (2.3% [23]) and in the $D^0 \to K^- \pi^+$ branching fraction (1% [20]). The global uncertainty in the Pb-Pb measurement ($+4.1\%$, -3.6%) includes the uncertainties in the number of sampled Pb-Pb inelastic collision events (2%), in the branching fraction (1%), and in T_{AA} ($+2.8\%$, -3.4% [24]). In the calculation of R_{AA}, the uncertainty in the branching fraction cancels out. The other uncertainties are summed in quadrature, amounting to a total global systematic uncertainty in the R_{AA} of $+4.6\%$, -4.1%.

The following systematic uncertainties are evaluated separately in different p_T ranges. The systematic uncertainty due to the signal extraction from the invariant mass fit (3.2–5.3%) is evaluated by varying the function used to fit the background, and by comparing the default double-Gaussian signal yield with that obtained with a different method, in which the integral of a third-order polynomial function describing the background and the K–π swapped pairs in the signal invariant mass region is subtracted from the number of candidate counts. The uncertainty due to the signal extraction with the sideband subtraction method (1.4–8.6%) is obtained by comparing the D^0 meson yield from the sideband method with the yield from the invariant mass fit, both obtained within the D^0 DCA range where the nonprompt D^0 component dominates. The systematic uncertainty associated with the separation of prompt D^0 mesons and D^0 mesons from b hadron decays (4.2–30.4%) comes from two sources. The first part, which is due to the data-simulation difference in the D^0 DCA shapes, is estimated by comparing the default $B \to D^0$ yields (from the two-component fit using MC DCA templates with varied widths to match the data) with that obtained using the original MC DCA templates without the width variation. The second part, which is due to statistical uncertainty in the simulated samples, is obtained by smearing
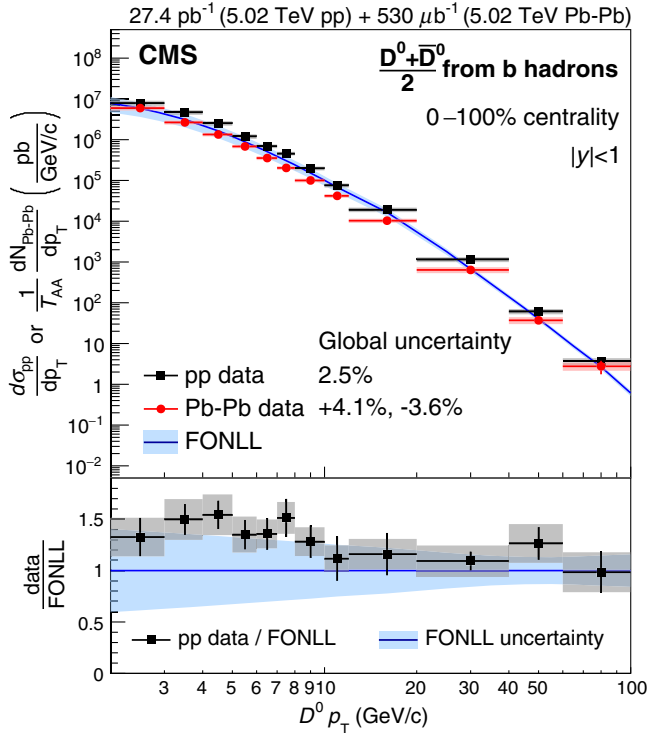
FIG. 2. Upper panel: $B \to D^0$ p_T-differential cross section in pp collisions and invariant yield in Pb-Pb collisions normalized with T_{AA}, at $\sqrt{s_{NN}} = 5.02$ TeV. The vertical bands around the data points represent the bin-by-bin systematic uncertainties. Uncertainties are smaller than the symbols in most cases. The cross section in pp collisions is compared to FONLL calculations [33]. Lower panel: The data/FONLL ratio for the $B \to D^0$ p_T spectra in pp collisions.

simulated D^0 DCA distributions according to the statistical uncertainties in each individual bin, and repeating the two-component fit 1000 times. The systematic uncertainty in the tracking efficiency is 4% for a single track [32], and 8% for a pair of tracks. For R_{AA}, the systematic uncertainty in the tracking efficiency ratio between Pb-Pb and pp data is 6% for a track [24], and 12% for a pair of tracks. The systematic uncertainty in the selection efficiency due to the geometrical criteria (6.9–11.6%) is evaluated by varying the selection variables. The systematic uncertainty in the D^0 HLT trigger efficiency (2.0–7.9%) is from the statistical precision of the number of D^0 meson candidates in the events common to the D^0 triggered and minimum-bias triggered samples. The systematic uncertainty in the acceptance and efficiency due to the simulated B meson p_T distribution (0.0–3.6%) is estimated by changing the default B meson p_T shapes (that reproduce the measured nonprompt D^0 spectra) to the fixed-order next-to-leading logarithm (FONLL) [33] perturbative QCD (pQCD) calculated (pp) and FONLL + TAMU model [34,35] predicted (Pb-Pb) B meson p_T shapes. The systematic uncertainty in the acceptance and efficiency due to the simulated B meson centrality distribution (0.4–2.3%) is estimated by assuming the B

meson yield to be proportional to the number of participating nucleons instead of the number of inelastic nucleon-nucleon collisions. The total systematic uncertainty in each p_T interval is computed as the sum in quadrature of the individual uncertainties listed above.

In Fig. 2, the $B \to D^0$ p_T-differential cross section in pp collisions and the invariant yield in Pb-Pb collisions normalized with T_{AA} are presented. The plot also shows the nonprompt D^0 p_T spectra found by decaying a B meson p_T spectrum calculated using FONLL [33] pQCD. The ratio of the measured pp spectrum over the FONLL prediction is shown in the bottom panel. The measurement in pp collisions lies close to the upper limit of the FONLL predicted range.

Figure 3 shows the $B \to D^0$ nuclear modification factor R_{AA}. It can be seen that the $B \to D^0$ R_{AA} is below unity in the measured p_T range from 2 to 100 GeV/c. In the upper panel, the $B \to D^0$ R_{AA} is compared with the R_{AA} of B mesons [18], nonprompt J/ψ mesons from b hadron decays [19], prompt D^0 mesons [16], and charged hadrons [24]. The $B \to D^0$ R_{AA} is close to the B meson and
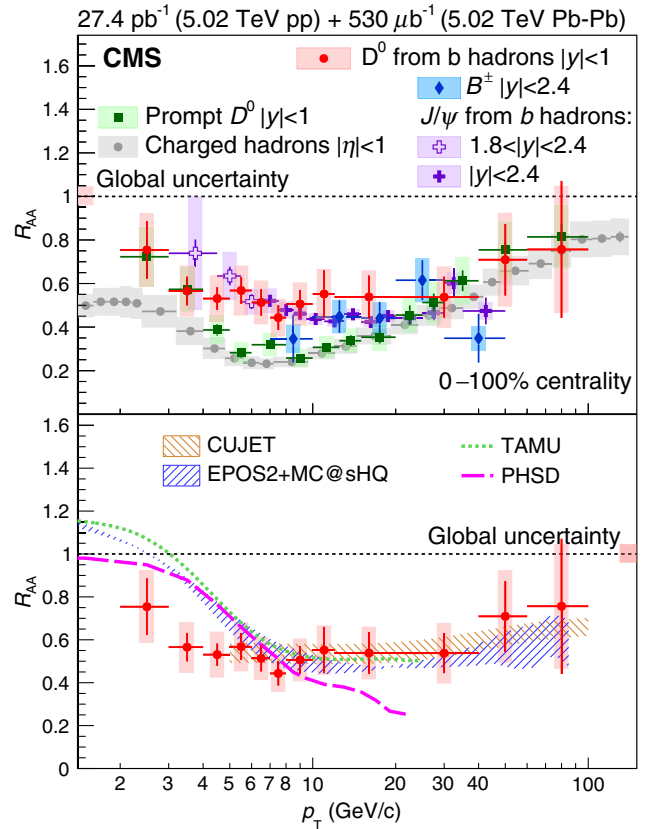
FIG. 3. The $B \to D^0$ nuclear modification factor R_{AA} for Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV (red circles) compared to other particles [16,18,19,24] (upper panel), and to various theoretical predictions [34–41] (lower panel). The vertical bands around the data points and at unity represent the bin-by-bin and global systematic uncertainties, respectively.

nonprompt J/ψ meson results, and extends the reach of b quark related R_{AA} studies to a larger p_T coverage at midrapidity. The $B \to D^0$ yield is less suppressed than prompt D^0 mesons and charged hadrons with p_T around 10 GeV/c. This may reflect a dependence of the suppression effects on the quark mass [10], although a direct comparison requires a full modeling of the quark initial spectrum and hadronization, as well as of the decay kinematics.

In the lower panel of Fig. 3, the measured $B \to D^0$ R_{AA} is compared with various theoretical predictions. The CUJET and EPOS2+MC@SHQ models are perturbative QCD-based calculations that include both collisional and radiative energy loss [36–39]. The TAMU model is a transport model based on a Langevin equation that includes collisional energy loss and heavy quark diffusion in the medium [34,35]. The PHSD model is a microscopic off-shell transport model based on a Boltzmann approach that includes collisional energy loss only [40,41]. At higher p_T, the CUJET, EPOS2+MC@SHQ and TAMU models all match the data well. However, at p_T below 5 GeV/c, our measurements show a hint of stronger suppression than predicted by all available models in this p_T range. This could indicate a stronger energy loss of b quarks in QGP than predicted at low p_T, where collisional parton energy loss begins to dominate. It could also be due to other effects. For example, the fraction of b baryons out of all b hadrons may be enhanced at low p_T in Pb-Pb collisions, because b quarks can hadronize by coalescing with light quarks in the medium [42–45]. Given the much lower decay fractions of b baryons $\to D^0$ with respect to the $B^\pm \to D^0$ and $B^0 \to D^0$ cases, fewer b hadrons are seen in this analysis than expected by the models. This baryon enhancement effect is not accounted for by the models considered.

In summary, this Letter presents the transverse momentum spectra of D^0 mesons from b hadron decays measured in pp and Pb-Pb collisions at a center-of-mass energy $\sqrt{s_{\mathrm{NN}}} = 5.02$ TeV per nucleon pair with the CMS detector at the LHC. The D^0 mesons from b hadron decays are distinguished from the prompt D^0 mesons by the distance of closest approach of the D^0 path relative to the primary vertex. The measured spectrum in pp collisions is close to the upper limit of a fixed-order next-to-leading logarithm perturbative quantum chromodynamics calculation. In Pb-Pb collisions, the $B \to D^0$ yield is suppressed in the measured transverse momentum (p_T) range from 2 to 100 GeV/c. The $B \to D^0$ nuclear modification factor R_{AA} is higher than for prompt D^0 mesons and charged hadrons around 10 GeV/c, which is in line with a quark mass ordering of suppression. Compared to theoretical predictions, the measured R_{AA} is consistent with some models at higher p_T, but shows a hint of stronger suppression than all of the available models at low p_T. This could indicate a stronger energy loss of b quarks in the quark-gluon plasma than predicted at low p_T, or could reflect an enhanced b baryon production due to quark coalescence in Pb-Pb collisions.

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Mccoll,[140] S. Regnard,[140] D. Saltzberg,[140] C. Schnaible,[140] V. Valuev,[140] E. Bouvier,[141] K. Burt,[141] R. Clare,[141] J. W. Gary,[141] S. M. A. Ghiasi Shirazi,[141] G. Hanson,[141] G. Karapostoli,[141] E. Kennedy,[141] F. Lacroix,[141] O. R. Long,[141] M. Olmedo Negrete,[141] M. I. Paneva,[141] W. Si,[141] L. Wang,[141] H. Wei,[141] S. Wimpenny,[141] B. R. Yates,[141] J. G. Branson,[142] P. Chang,[142] S. Cittolin,[142] M. Derdzinski,[142] R. Gerosa,[142] D. Gilbert,[142] B. Hashemi,[142] A. Holzner,[142] D. Klein,[142] G. Kole,[142] V. Krutelyov,[142] J. Letts,[142] M. Masciovecchio,[142] D. Olivito,[142] S. Padhi,[142] M. Pieri,[142] M. Sani,[142] V. Sharma,[142] S. Simon,[142] M. Tadel,[142] A. Vartak,[142] S. Wasserbaech,[142,ooo] J. Wood,[142] F. Würthwein,[142] A. Yagil,[142] G. Zevi Della Porta,[142] N. Amin,[143] R. Bhandari,[143] J. Bradmiller-Feld,[143] C. Campagnari,[143] M. Citron,[143] A. Dishaw,[143] V. Dutta,[143] M. Franco Sevilla,[143] L. Gouskos,[143] R. Heller,[143] J. Incandela,[143] A. Ovcharova,[143] H. Qu,[143] J. Richman,[143] D. Stuart,[143] I. Suarez,[143] S. Wang,[143] J. Yoo,[143] D. Anderson,[144] A. Bornheim,[144] J. M. Lawhorn,[144] H. B. Newman,[144] T. Q. Nguyen,[144] M. Spiropulu,[144] J. R. Vlimant,[144] R. Wilkinson,[144] S. Xie,[144] Z. Zhang,[144] R. Y. Zhu,[144] M. B. Andrews,[145] T. Ferguson,[145] T. Mudholkar,[145] M. Paulini,[145] M. Sun,[145] I. Vorobiev,[145] M. Weinberg,[145] J. P. Cumalat,[146] W. T. Ford,[146] F. Jensen,[146] A. Johnson,[146] M. Krohn,[146] E. MacDonald,[146] T. Mulholland,[146] R. Patel,[146] A. Perloff,[146] K. Stenson,[146] K. A. Ulmer,[146] S. R. Wagner,[146] J. Alexander,[147] J. Chaves,[147] Y. Cheng,[147] J. Chu,[147] A. Datta,[147] K. Mcdermott,[147] N. Mirman,[147] J. R. Patterson,[147] D. Quach,[147] A. Rinkevicius,[147] A. Ryd,[147] L. Skinnari,[147] L. Soffi,[147] S. M. Tan,[147] Z. Tao,[147] J. Thom,[147] J. Tucker,[147] P. Wittich,[147] M. Zientek,[147] S. Abdullin,[148] M. Albrow,[148] M. Alyari,[148] G. Apollinari,[148] A. Apresyan,[148] A. Apyan,[148] S. Banerjee,[148] L. A. T. Bauerdick,[148] A. Beretvas,[148] J. Berryhill,[148] P. C. Bhat,[148] K. Burkett,[148] J. N. Butler,[148] A. Canepa,[148] G. B. Cerati,[148] H. W. K. Cheung,[148] F. Chlebana,[148] M. Cremonesi,[148] J. Duarte,[148] V. D. Elvira,[148] J. Freeman,[148] Z. Gecse,[148] E. Gottschalk,[148] L. Gray,[148] D. Green,[148] S. Grünendahl,[148] O. Gutsche,[148] J. Hanlon,[148] R. M. Harris,[148] S. Hasegawa,[148] J. Hirschauer,[148] Z. Hu,[148] B. Jayatilaka,[148] S. Jindariani,[148] M. Johnson,[148] U. Joshi,[148]

B. Klima,[148] M. J. Kortelainen,[148] B. Kreis,[148] S. Lammel,[148] D. Lincoln,[148] R. Lipton,[148] M. Liu,[148] T. Liu,[148] J. Lykken,[148] K. Maeshima,[148] J. M. Marraffino,[148] D. Mason,[148] P. McBride,[148] P. Merkel,[148] S. Mrenna,[148] S. Nahn,[148] V. O'Dell,[148] K. Pedro,[148] C. Pena,[148] O. Prokofyev,[148] G. Rakness,[148] L. Ristori,[148] A. Savoy-Navarro,[148,ppp] B. Schneider,[148] E. Sexton-Kennedy,[148] A. Soha,[148] W. J. Spalding,[148] L. Spiegel,[148] S. Stoynev,[148] J. Strait,[148] N. Strobbe,[148] L. Taylor,[148] S. Tkaczyk,[148] N. V. Tran,[148] L. Uplegger,[148] E. W. Vaandering,[148] C. Vernieri,[148] M. Verzocchi,[148] R. Vidal,[148] M. Wang,[148] H. A. Weber,[148] A. Whitbeck,[148] D. Acosta,[149] P. Avery,[149] P. Bortignon,[149] D. Bourilkov,[149] A. Brinkerhoff,[149] L. Cadamuro,[149] A. Carnes,[149] M. Carver,[149] D. Curry,[149] R. D. Field,[149] S. V. Gleyzer,[149] B. M. Joshi,[149] J. Konigsberg,[149] A. Korytov,[149] K. H. Lo,[149] P. Ma,[149] K. Matchev,[149] H. Mei,[149] G. Mitselmakher,[149] D. Rosenzweig,[149] K. Shi,[149] D. Sperka,[149] J. Wang,[149] S. Wang,[149] X. Zuo,[149] Y. R. Joshi,[150] S. Linn,[150] A. Ackert,[151] T. Adams,[151] A. Askew,[151] S. Hagopian,[151] V. Hagopian,[151] K. F. Johnson,[151] T. Kolberg,[151] G. Martinez,[151] T. Perry,[151] H. Prosper,[151] A. Saha,[151] C. Schiber,[151] R. Yohay,[151] M. M. Baarmand,[152] V. Bhopatkar,[152] S. Colafranceschi,[152] M. Hohlmann,[152] D. Noonan,[152] M. Rahmani,[152] T. Roy,[152] F. Yumiceva,[152] M. R. Adams,[153] L. Apanasevich,[153] D. Berry,[153] R. R. Betts,[153] R. Cavanaugh,[153] X. Chen,[153] S. Dittmer,[153] O. Evdokimov,[153] C. E. Gerber,[153] D. A. Hangal,[153] D. J. Hofman,[153] K. Jung,[153] J. Kamin,[153] C. Mills,[153] I. D. Sandoval Gonzalez,[153] M. B. Tonjes,[153] H. Trauger,[153] N. Varelas,[153] H. Wang,[153] X. Wang,[153] Z. Wu,[153] J. Zhang,[153] M. Alhusseini,[154] B. Bilki,[154,qqq] W. Clarida,[154] K. Dilsiz,[154,rrr] S. Durgut,[154] R. P. Gandrajula,[154] M. Haytmyradov,[154] V. Khristenko,[154] J.-P. Merlo,[154] A. Mestvirishvili,[154] A. Moeller,[154] J. Nachtman,[154] H. Ogul,[154,sss] Y. Onel,[154] F. Ozok,[154,ttt] A. Penzo,[154] C. Snyder,[154] E. Tiras,[154] J. Wetzel,[154] B. Blumenfeld,[155] A. Cocoros,[155] N. Eminizer,[155] D. Fehling,[155] L. Feng,[155] A. V. Gritsan,[155] W. T. Hung,[155] P. Maksimovic,[155] J. Roskes,[155] U. Sarica,[155] M. Swartz,[155] M. Xiao,[155] C. You,[155] A. Al-bataineh,[156] P. Baringer,[156] A. Bean,[156] S. Boren,[156] J. Bowen,[156] A. Bylinkin,[156] J. Castle,[156] S. Khalil,[156] A. Kropivnitskaya,[156] D. Majumder,[156] W. Mcbrayer,[156] M. Murray,[156] C. Rogan,[156] S. Sanders,[156] E. Schmitz,[156] J. D. Tapia Takaki,[156] Q. Wang,[156] S. Duric,[157] A. Ivanov,[157] K. Kaadze,[157] D. Kim,[157] Y. Maravin,[157] D. R. Mendis,[157] T. Mitchell,[157] A. Modak,[157] A. Mohammadi,[157] L. K. Saini,[157] N. Skhirtladze,[157] F. Rebassoo,[158] D. Wright,[158] A. Baden,[159] O. Baron,[159] A. Belloni,[159] S. C. Eno,[159] Y. Feng,[159] C. Ferraioli,[159] N. J. Hadley,[159] S. Jabeen,[159] G. Y. Jeng,[159] R. G. Kellogg,[159] J. Kunkle,[159] A. C. Mignerey,[159] S. Nabili,[159] F. Ricci-Tam,[159] Y. H. Shin,[159] A. Skuja,[159] S. C. Tonwar,[159] K. Wong,[159] D. Abercrombie,[160] B. Allen,[160] V. Azzolini,[160] A. Baty,[160] G. Bauer,[160] R. Bi,[160] S. Brandt,[160] W. Busza,[160] I. A. Cali,[160] M. D'Alfonso,[160] Z. Demiragli,[160] G. Gomez Ceballos,[160] M. Goncharov,[160] P. Harris,[160] D. Hsu,[160] M. Hu,[160] Y. Iiyama,[160] G. M. Innocenti,[160] M. Klute,[160] D. Kovalskyi,[160] Y.-J. Lee,[160] P. D. Luckey,[160] B. Maier,[160] A. C. Marini,[160] C. Mcginn,[160] C. Mironov,[160] S. Narayanan,[160] X. Niu,[160] C. Paus,[160] C. Roland,[160] G. Roland,[160] G. S. F. Stephans,[160] K. Sumorok,[160] K. Tatar,[160] D. Velicanu,[160] J. Wang,[160] T. W. Wang,[160] B. Wyslouch,[160] S. Zhaozhong,[160] A. C. Benvenuti,[161,a] R. M. Chatterjee,[161] A. Evans,[161] P. Hansen,[161] Sh. Jain,[161] S. Kalafut,[161] Y. Kubota,[161] Z. Lesko,[161] J. Mans,[161] N. Ruckstuhl,[161] R. Rusack,[161] J. Turkewitz,[161] M. A. Wadud,[161] J. G. Acosta,[162] S. Oliveros,[162] E. Avdeeva,[163] K. Bloom,[163] D. R. Claes,[163] C. Fangmeier,[163] F. Golf,[163] R. Gonzalez Suarez,[163] R. Kamalieddin,[163] I. Kravchenko,[163] J. Monroy,[163] J. E. Siado,[163] G. R. Snow,[163] B. Stieger,[163] A. Godshalk,[164] C. Harrington,[164] I. Iashvili,[164] A. Kharchilava,[164] C. Mclean,[164] D. Nguyen,[164] A. Parker,[164] S. Rappoccio,[164] B. Roozbahani,[164] G. Alverson,[165] E. Barberis,[165] C. Freer,[165] A. Hortiangtham,[165] D. M. Morse,[165] T. Orimoto,[165] R. Teixeira De Lima,[165] T. Wamorkar,[165] B. Wang,[165] A. Wisecarver,[165] D. Wood,[165] S. Bhattacharya,[166] O. Charaf,[166] K. A. Hahn,[166] N. Mucia,[166] N. Odell,[166] M. H. Schmitt,[166] K. Sung,[166] M. Trovato,[166] M. Velasco,[166] R. Bucci,[167] N. Dev,[167] M. Hildreth,[167] K. Hurtado Anampa,[167] C. Jessop,[167] D. J. Karmgard,[167] N. Kellams,[167] K. Lannon,[167] W. Li,[167] N. Loukas,[167] N. Marinelli,[167] F. Meng,[167] C. Mueller,[167] Y. Musienko,[167,jj] M. Planer,[167] A. Reinsvold,[167] R. Ruchti,[167] P. Siddireddy,[167] G. Smith,[167] S. Taroni,[167] M. Wayne,[167] A. Wightman,[167] M. Wolf,[167] A. Woodard,[167] J. Alimena,[168] L. Antonelli,[168] B. Bylsma,[168] L. S. Durkin,[168] S. Flowers,[168] B. Francis,[168] A. Hart,[168] C. Hill,[168] W. Ji,[168] T. Y. Ling,[168] W. Luo,[168] B. L. Winer,[168] S. Cooperstein,[169] P. Elmer,[169] J. Hardenbrook,[169] S. Higginbotham,[169] A. Kalogeropoulos,[169] D. Lange,[169] M. T. Lucchini,[169] J. Luo,[169] D. Marlow,[169] K. Mei,[169] I. Ojalvo,[169] J. Olsen,[169] C. Palmer,[169] P. Piroué,[169] J. Salfeld-Nebgen,[169] D. Stickland,[169] C. Tully,[169] S. Malik,[170] S. Norberg,[170] A. Barker,[171] V. E. Barnes,[171] S. Das,[171] L. Gutay,[171] M. Jones,[171] A. W. Jung,[171] A. Khatiwada,[171] B. Mahakud,[171] D. H. Miller,[171] N. Neumeister,[171] C. C. Peng,[171] S. Piperov,[171] H. Qiu,[171] J. F. Schulte,[171] J. Sun,[171] F. Wang,[171] R. Xiao,[171] W. Xie,[171] T. Cheng,[172] J. Dolen,[172] N. Parashar,[172] Z. Chen,[173] K. M. Ecklund,[173] S. Freed,[173] F. J. M. Geurts,[173] M. Kilpatrick,[173] W. Li,[173] B. P. Padley,[173] R. Redjimi,[173] J. Roberts,[173] J. Rorie,[173] W. Shi,[173] Z. Tu,[173] J. Zabel,[173] A. Zhang,[173] A. Bodek,[174] P. de Barbaro,[174] R. Demina,[174] Y. t. Duh,[174] J. L. Dulemba,[174] C. Fallon,[174] T. Ferbel,[174]

M. Galanti,[174] A. Garcia-Bellido,[174] J. Han,[174] O. Hindrichs,[174] A. Khukhunaishvili,[174] P. Tan,[174] R. Taus,[174] A. Agapitos,[175] J. P. Chou,[175] Y. Gershtein,[175] E. Halkiadakis,[175] M. Heindl,[175] E. Hughes,[175] S. Kaplan,[175] R. Kunnawalkam Elayavalli,[175] S. Kyriacou,[175] A. Lath,[175] R. Montalvo,[175] K. Nash,[175] M. Osherson,[175] H. Saka,[175] S. Salur,[175] S. Schnetzer,[175] D. Sheffield,[175] S. Somalwar,[175] R. Stone,[175] S. Thomas,[175] P. Thomassen,[175] M. Walker,[175] A. G. Delannoy,[176] J. Heideman,[176] G. Riley,[176] S. Spanier,[176] O. Bouhali,[177,uuu] A. Celik,[177] M. Dalchenko,[177] M. De Mattia,[177] A. Delgado,[177] S. Dildick,[177] R. Eusebi,[177] J. Gilmore,[177] T. Huang,[177] T. Kamon,[177,vvv] S. Luo,[177] R. Mueller,[177] D. Overton,[177] L. Perniè,[177] D. Rathjens,[177] A. Safonov,[177] N. Akchurin,[178] J. Damgov,[178] F. De Guio,[178] P. R. Dudero,[178] S. Kunori,[178] K. Lamichhane,[178] S. W. Lee,[178] T. Mengke,[178] S. Muthumuni,[178] T. Peltola,[178] S. Undleeb,[178] I. Volobouev,[178] Z. Wang,[178] S. Greene,[179] A. Gurrola,[179] R. Janjam,[179] W. Johns,[179] C. Maguire,[179] A. Melo,[179] H. Ni,[179] K. Padeken,[179] J. D. Ruiz Alvarez,[179] P. Sheldon,[179] S. Tuo,[179] J. Velkovska,[179] M. Verweij,[179] Q. Xu,[179] M. W. Arenton,[180] P. Barria,[180] B. Cox,[180] R. Hirosky,[180] M. Joyce,[180] A. Ledovskoy,[180] H. Li,[180] C. Neu,[180] T. Sinthuprasith,[180] Y. Wang,[180] E. Wolfe,[180] F. Xia,[180] R. Harr,[181] P. E. Karchin,[181] N. Poudyal,[181] J. Sturdy,[181] P. Thapa,[181] S. Zaleski,[181] M. Brodski,[182] J. Buchanan,[182] C. Caillol,[182] D. Carlsmith,[182] S. Dasu,[182] L. Dodd,[182] B. Gomber,[182] M. Grothe,[182] M. Herndon,[182] A. Hervé,[182] U. Hussain,[182] P. Klabbers,[182] A. Lanaro,[182] K. Long,[182] R. Loveless,[182] T. Ruggles,[182] A. Savin,[182] V. Sharma,[182] N. Smith,[182] W. H. Smith,[182] and N. Woods[182]

(CMS Collaboration)

[1]Yerevan Physics Institute, Yerevan, Armenia
[2]Institut für Hochenergiephysik, Wien, Austria
[3]Institute for Nuclear Problems, Minsk, Belarus
[4]Universiteit Antwerpen, Antwerpen, Belgium
[5]Vrije Universiteit Brussel, Brussel, Belgium
[6]Université Libre de Bruxelles, Bruxelles, Belgium
[7]Ghent University, Ghent, Belgium
[8]Université Catholique de Louvain, Louvain-la-Neuve, Belgium
[9]Centro Brasileiro de Pesquisas Fisicas, Rio de Janeiro, Brazil
[10]Universidade do Estado do Rio de Janeiro, Rio de Janeiro, Brazil
[11a]Universidade Estadual Paulista, São Paulo, Brazil
[11b]Universidade Federal do ABC, São Paulo, Brazil
[12]Institute for Nuclear Research and Nuclear Energy, Bulgarian Academy of Sciences, Sofia, Bulgaria
[13]University of Sofia, Sofia, Bulgaria
[14]Beihang University, Beijing, China
[15]Institute of High Energy Physics, Beijing, China
[16]State Key Laboratory of Nuclear Physics and Technology, Peking University, Beijing, China
[17]Tsinghua University, Beijing, China
[18]Universidad de Los Andes, Bogota, Colombia
[19]University of Split, Faculty of Electrical Engineering, Mechanical Engineering and Naval Architecture, Split, Croatia
[20]University of Split, Faculty of Science, Split, Croatia
[21]Institute Rudjer Boskovic, Zagreb, Croatia
[22]University of Cyprus, Nicosia, Cyprus
[23]Charles University, Prague, Czech Republic
[24]Escuela Politecnica Nacional, Quito, Ecuador
[25]Universidad San Francisco de Quito, Quito, Ecuador
[26]Academy of Scientific Research and Technology of the Arab Republic of Egypt, Egyptian Network of High Energy Physics, Cairo, Egypt
[27]National Institute of Chemical Physics and Biophysics, Tallinn, Estonia
[28]Department of Physics, University of Helsinki, Helsinki, Finland
[29]Helsinki Institute of Physics, Helsinki, Finland
[30]Lappeenranta University of Technology, Lappeenranta, Finland
[31]IRFU, CEA, Université Paris-Saclay, Gif-sur-Yvette, France
[32]Laboratoire Leprince-Ringuet, Ecole polytechnique, CNRS/IN2P3, Université Paris-Saclay, Palaiseau, France
[33]Université de Strasbourg, CNRS, IPHC UMR 7178, Strasbourg, France
[34]Centre de Calcul de l'Institut National de Physique Nucleaire et de Physique des Particules, CNRS/IN2P3, Villeurbanne, France

[35]Université de Lyon, Université Claude Bernard Lyon 1, CNRS-IN2P3, Institut de Physique Nucléaire de Lyon, Villeurbanne, France

[36]Georgian Technical University, Tbilisi, Georgia

[37]Tbilisi State University, Tbilisi, Georgia

[38]RWTH Aachen University, I. Physikalisches Institut, Aachen, Germany

[39]RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany

[40]RWTH Aachen University, III. Physikalisches Institut B, Aachen, Germany

[41]Deutsches Elektronen-Synchrotron, Hamburg, Germany

[42]University of Hamburg, Hamburg, Germany

[43]Karlsruher Institut fuer Technologie, Karlsruhe, Germany

[44]Institute of Nuclear and Particle Physics (INPP), NCSR Demokritos, Aghia Paraskevi, Greece

[45]National and Kapodistrian University of Athens, Athens, Greece

[46]National Technical University of Athens, Athens, Greece

[47]University of Ioánnina, Ioánnina, Greece

[48]MTA-ELTE Lendület CMS Particle and Nuclear Physics Group, Eötvös Loránd University, Budapest, Hungary

[49]Wigner Research Centre for Physics, Budapest, Hungary

[50]Institute of Nuclear Research ATOMKI, Debrecen, Hungary

[51]Institute of Physics, University of Debrecen, Debrecen, Hungary

[52]Indian Institute of Science (IISc), Bangalore, India

[53]National Institute of Science Education and Research, HBNI, Bhubaneswar, India

[54]Panjab University, Chandigarh, India

[55]University of Delhi, Delhi, India

[56]Saha Institute of Nuclear Physics, HBNI, Kolkata,India

[57]Indian Institute of Technology Madras, Madras, India

[58]Bhabha Atomic Research Centre, Mumbai, India

[59]Tata Institute of Fundamental Research-A, Mumbai, India

[60]Tata Institute of Fundamental Research-B, Mumbai, India

[61]Indian Institute of Science Education and Research (IISER), Pune, India

[62]Institute for Research in Fundamental Sciences (IPM), Tehran, Iran

[63]University College Dublin, Dublin, Ireland

[64a]INFN Sezione di Bari, Bari, Italy

[64b]Università di Bari, Bari, Italy

[64c]Politecnico di Bari, Bari, Italy

[65a]INFN Sezione di Bologna, Bologna, Italy

[65b]Università di Bologna, Bologna, Italy

[66a]INFN Sezione di Catania, Catania, Italy

[66b]Università di Catania, Catania, Italy

[67a]INFN Sezione di Firenze, Firenze, Italy

[67b]Università di Firenze, Firenze, Italy

[68]INFN Laboratori Nazionali di Frascati, Frascati, Italy

[69a]INFN Sezione di Genova, Genova, Italy

[69b]Università di Genova, Genova, Italy

[70a]INFN Sezione di Milano-Bicocca, Milano, Italy

[70b]Università di Milano-Bicocca, Milano, Italy

[71a]INFN Sezione di Napoli, Napoli, Italy

[71b]Università di Napoli 'Federico II', Napoli, Italy

[71c]Università della Basilicata, Potenza, Italy

[71d]Università G. Marconi, Roma, Italy

[72a]INFN Sezione di Padova, Padova, Italy

[72b]Università di Padova, Padova, Italy

[72c]Università di Trento, Trento, Italy

[73a]INFN Sezione di Pavia

[73b]Università di Pavia

[74a]INFN Sezione di Perugia, Perugia, Italy

[74b]Università di Perugia, Perugia, Italy

[75a]INFN Sezione di Pisa, Pisa, Italy

[75b]Università di Pisa, Pisa, Italy

[75c]Scuola Normale Superiore di Pisa, Pisa, Italy

[76a]INFN Sezione di Roma, Rome, Italy

[76b]Sapienza Università di Roma, Rome, Italy

[77a]*INFN Sezione di Torino, Torino, Italy*
[77b]*Università di Torino, Torino, Italy*
[77c]*Università del Piemonte Orientale, Novara, Italy*
[78a]*INFN Sezione di Trieste, Trieste, Italy*
[78b]*Università di Trieste, Trieste, Italy*
[79]*Kyungpook National University, Daegu, Korea*
[80]*Chonnam National University, Institute for Universe and Elementary Particles, Kwangju, Korea*
[81]*Hanyang University, Seoul, Korea*
[82]*Korea University, Seoul, Korea*
[83]*Sejong University, Seoul, Korea*
[84]*Seoul National University, Seoul, Korea*
[85]*University of Seoul, Seoul, Korea*
[86]*Sungkyunkwan University, Suwon, Korea*
[87]*Vilnius University, Vilnius, Lithuania*
[88]*National Centre for Particle Physics, Universiti Malaya, Kuala Lumpur, Malaysia*
[89]*Universidad de Sonora (UNISON), Hermosillo, Mexico*
[90]*Centro de Investigacion y de Estudios Avanzados del IPN, Mexico City, Mexico*
[91]*Universidad Iberoamericana, Mexico City, Mexico*
[92]*Benemerita Universidad Autonoma de Puebla, Puebla, Mexico*
[93]*Universidad Autónoma de San Luis Potosí, San Luis Potosí, Mexico*
[94]*University of Auckland, Auckland, New Zealand*
[95]*University of Canterbury, Christchurch, New Zealand*
[96]*National Centre for Physics, Quaid-I-Azam University, Islamabad, Pakistan*
[97]*National Centre for Nuclear Research, Swierk, Poland*
[98]*Institute of Experimental Physics, Faculty of Physics, University of Warsaw, Warsaw, Poland*
[99]*Laboratório de Instrumentação e Física Experimental de Partículas, Lisboa, Portugal*
[100]*Joint Institute for Nuclear Research, Dubna, Russia*
[101]*Petersburg Nuclear Physics Institute, Gatchina (St. Petersburg), Russia*
[102]*Institute for Nuclear Research, Moscow, Russia*
[103]*Institute for Theoretical and Experimental Physics, Moscow, Russia*
[104]*Moscow Institute of Physics and Technology, Moscow, Russia*
[105]*National Research Nuclear University 'Moscow Engineering Physics Institute' (MEPhI), Moscow, Russia*
[106]*P.N. Lebedev Physical Institute, Moscow, Russia*
[107]*Skobeltsyn Institute of Nuclear Physics, Lomonosov Moscow State University, Moscow, Russia*
[108]*Novosibirsk State University (NSU), Novosibirsk, Russia*
[109]*Institute for High Energy Physics of National Research Centre 'Kurchatov Institute', Protvino, Russia*
[110]*National Research Tomsk Polytechnic University, Tomsk, Russia*
[111]*University of Belgrade, Faculty of Physics and Vinca Institute of Nuclear Sciences, Belgrade, Serbia*
[112]*Centro de Investigaciones Energéticas Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain*
[113]*Universidad Autónoma de Madrid, Madrid, Spain*
[114]*Universidad de Oviedo, Oviedo, Spain*
[115]*Instituto de Física de Cantabria (IFCA), CSIC-Universidad de Cantabria, Santander, Spain*
[116]*University of Ruhuna, Department of Physics, Matara, Sri Lanka*
[117]*CERN, European Organization for Nuclear Research, Geneva, Switzerland*
[118]*Paul Scherrer Institut, Villigen, Switzerland*
[119]*ETH Zurich—Institute for Particle Physics and Astrophysics (IPA), Zurich, Switzerland*
[120]*Universität Zürich, Zurich, Switzerland*
[121]*National Central University, Chung-Li, Taiwan*
[122]*National Taiwan University (NTU), Taipei, Taiwan*
[123]*Chulalongkorn University, Faculty of Science, Department of Physics, Bangkok, Thailand*
[124]*Çukurova University, Physics Department, Science and Art Faculty, Adana, Turkey*
[125]*Middle East Technical University, Physics Department, Ankara, Turkey*
[126]*Bogazici University, Istanbul, Turkey*
[127]*Istanbul Technical University, Istanbul, Turkey*
[128]*Institute for Scintillation Materials of National Academy of Science of Ukraine, Kharkov, Ukraine*
[129]*National Scientific Center, Kharkov Institute of Physics and Technology, Kharkov, Ukraine*
[130]*University of Bristol, Bristol, United Kingdom*
[131]*Rutherford Appleton Laboratory, Didcot, United Kingdom*
[132]*Imperial College, London, United Kingdom*
[133]*Brunel University, Uxbridge, United Kingdom*

[134]Baylor University, Waco, Texas, USA

[135]Catholic University of America, Washington, DC, USA

[136]The University of Alabama, Tuscaloosa, USA

[137]Boston University, Boston, Massachusetts, USA

[138]Brown University, Providence, Rhode Island, USA

[139]University of California at Davis, Davis, California, USA

[140]University of California at Los Angeles, Los Angeles, California, USA

[141]University of California at Riverside, Riverside, California, USA

[142]University of California at San Diego, La Jolla, California, USA

[143]University of California, Santa Barbara—Department of Physics, Santa Barbara, California, USA

[144]California Institute of Technology, Pasadena, California, USA

[145]Carnegie Mellon University, Pittsburgh, Pennsylvania, USA

[146]University of Colorado Boulder, Boulder, Colorado, USA

[147]Cornell University, Ithaca, New York, USA

[148]Fermi National Accelerator Laboratory, Batavia, Illinois, USA

[149]University of Florida, Gainesville, Florida, USA

[150]Florida International University, Miami, Florida, USA

[151]Florida State University, Tallahassee, Florida, USA

[152]Florida Institute of Technology, Melbourne, Florida, USA

[153]University of Illinois at Chicago (UIC), Chicago, Illinois, USA

[154]The University of Iowa, Iowa City, Iowa, USA

[155]Johns Hopkins University, Baltimore, Maryland, USA

[156]The University of Kansas, Lawrence, Kansas, USA

[157]Kansas State University, Manhattan, Kansas, USA

[158]Lawrence Livermore National Laboratory, Livermore, California, USA

[159]University of Maryland, College Park, Maryland, USA

[160]Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

[161]University of Minnesota, Minneapolis, Minnesota, USA

[162]University of Mississippi, Oxford, Mississippi, USA

[163]University of Nebraska-Lincoln, Lincoln, Nebraska, USA

[164]State University of New York at Buffalo, Buffalo, New York, USA

[165]Northeastern University, Boston, Massachusetts, USA

[166]Northwestern University, Evanston, Illinois, USA

[167]University of Notre Dame, Notre Dame, Indiana, USA

[168]The Ohio State University, Columbus, Ohio, USA

[169]Princeton University, Princeton, New Jersey, USA

[170]University of Puerto Rico, Mayaguez, Puerto Rico

[171]Purdue University, West Lafayette, Indiana, USA

[172]Purdue University Northwest, Hammond, Indiana, USA

[173]Rice University, Houston, Texas, USA

[174]University of Rochester, Rochester, New York, USA

[175]Rutgers, The State University of New Jersey, Piscataway, New Jersey, USA

[176]University of Tennessee, Knoxville, Tennessee, USA

[177]Texas A&M University, College Station, Texas, USA

[178]Texas Tech University, Lubbock, Texas, USA

[179]Vanderbilt University, Nashville, Tennessee, USA

[180]University of Virginia, Charlottesville, Virginia, USA

[181]Wayne State University, Detroit, Michigan, USA

[182]University of Wisconsin—Madison, Madison, Wisconsin, USA

[a]Deceased.

[b]Also at Vienna University of Technology, Vienna, Austria.

[c]Also at IRFU, CEA, Université Paris-Saclay, Gif-sur-Yvette, France.

[d]Also at Universidade Estadual de Campinas, Campinas, Brazil.

[e]Also at Federal University of Rio Grande do Sul, Porto Alegre, Brazil.

[f]Also at Université Libre de Bruxelles, Bruxelles, Belgium.

[g]Also at University of Chinese Academy of Sciences.

[h]Also at Institute for Theoretical and Experimental Physics, Moscow, Russia.

[i]Also at Joint Institute for Nuclear Research, Dubna, Russia.

[j]Also at British University in Egypt, Cairo, Egypt.

[k]Also at Suez University, Suez, Egypt.

[l]Also at Zewail City of Science and Technology, Zewail, Egypt.

[m]Also at Department of Physics, King Abdulaziz University, Jeddah, Saudi Arabia.

[n]Also at Université de Haute Alsace, Mulhouse, France.

[o]Also at Skobeltsyn Institute of Nuclear Physics, Lomonosov Moscow State University, Moscow, Russia.

[p]Also at Tbilisi State University, Tbilisi, Georgia.

[q]Also at CERN, European Organization for Nuclear Research, Geneva, Switzerland.

[r]Also at RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany.

[s]Also at University of Hamburg, Hamburg, Germany.

[t]Also at Brandenburg University of Technology, Cottbus, Germany.

[u]Also at MTA-ELTE Lendület CMS Particle and Nuclear Physics Group, Eötvös Loránd University, Budapest, Hungary.

[v]Also at Institute of Nuclear Research ATOMKI, Debrecen, Hungary.

[w]Also at Institute of Physics, University of Debrecen, Debrecen, Hungary.

[x]Also at IIT Bhubaneswar, Bhubaneswar, India.

[y]Also at Institute of Physics, Bhubaneswar, India.

[z]Also at Shoolini University, Solan, India.

[aa]Also at University of Visva-Bharati, Santiniketan, India.

[bb]Also at Isfahan University of Technology, Isfahan, Iran.

[cc]Also at Plasma Physics Research Center, Science and Research Branch, Islamic Azad University, Tehran, Iran.

[dd]Also at Università degli Studi di Siena, Siena, Italy.

[ee]Also at Kyunghee University, Seoul, Korea.

[ff]Also at International Islamic University of Malaysia, Kuala Lumpur, Malaysia.

[gg]Also at Malaysian Nuclear Agency, MOSTI, Kajang, Malaysia.

[hh]Also at Consejo Nacional de Ciencia y Tecnología, Mexico city, Mexico.

[ii]Also at Warsaw University of Technology, Institute of Electronic Systems, Warsaw, Poland.

[jj]Also at Institute for Nuclear Research, Moscow, Russia.

[kk]Also at National Research Nuclear University 'Moscow Engineering Physics Institute' (MEPhI), Moscow, Russia.

[ll]Also at St. Petersburg State Polytechnical University, St. Petersburg, Russia.

[mm]Also at University of Florida, Gainesville, Florida, USA.

[nn]Also at P.N. Lebedev Physical Institute, Moscow, Russia.

[oo]Also at Budker Institute of Nuclear Physics, Novosibirsk, Russia.

[pp]Also at Faculty of Physics, University of Belgrade, Belgrade, Serbia.

[qq]Also at INFN Sezione di Pavia, Università di Pavia, Pavia, Italy.

[rr]Also at University of Belgrade, Faculty of Physics and Vinca Institute of Nuclear Sciences, Belgrade, Serbia.

[ss]Also at Scuola Normale e Sezione dell'INFN, Pisa, Italy.

[tt]Also at National and Kapodistrian University of Athens, Athens, Greece.

[uu]Also at Riga Technical University, Riga, Latvia.

[vv]Also at Universität Zürich, Zurich, Switzerland.

[ww]Also at Stefan Meyer Institute for Subatomic Physics, Vienna, Austria.

[xx]Also at Gaziosmanpasa University, Tokat, Turkey.

[yy]Also at Adiyaman University, Adiyaman, Turkey.

[zz]Also at Istanbul Aydin University, Istanbul, Turkey.

[aaa]Also at Mersin University, Mersin, Turkey.

[bbb]Also at Piri Reis University, Istanbul, Turkey.

[ccc]Also at Ozyegin University, Istanbul, Turkey.

[ddd]Also at Izmir Institute of Technology, Izmir, Turkey.

[eee]Also at Marmara University, Istanbul, Turkey.

[fff]Also at Kafkas University, Kars, Turkey.

[ggg]Also at Istanbul University, Faculty of Science, Istanbul, Turkey.

[hhh]Also at Istanbul Bilgi University, Istanbul, Turkey.

[iii]Also at Hacettepe University, Ankara, Turkey.

[jjj]Also at Rutherford Appleton Laboratory, Didcot, United Kingdom.

[kkk]Also at School of Physics and Astronomy, University of Southampton, Southampton, United Kingdom.

[lll]Also at Monash University, Faculty of Science, Clayton, Australia.

[mmm]Also at Bethel University, St. Paul, USA.

[nnn]Also at Karamanoğlu Mehmetbey University, Karaman, Turkey.

[ooo]Also at Utah Valley University, Orem, Utah, USA.

[ppp]Also at Purdue University, West Lafayette, Indiana, USA.

[qqq]Also at Beykent University, Istanbul, Turkey.

[rrr]Also at Bingol University, Bingol, Turkey.

[sss]Also at Sinop University, Sinop, Turkey.
[ttt]Also at Mimar Sinan University, Istanbul, Istanbul, Turkey.
[uuu]Also at Texas A&M University at Qatar, Doha, Qatar.
[vvv]Also at Kyungpook National University, Daegu, Korea.